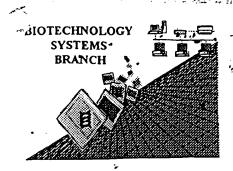
## RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/954456Source: 01/67/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

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FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 c-mail help: <a href="mailto:patin21help@uspto.gov">patin21help@uspto.gov</a> or phone 703-306-4119 (R. Wax) PATENTIN 3.0 c-mail help: <a href="mailto:patin3help@uspto.gov">patin3help@uspto.gov</a> or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER VERSION 3.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

## Checker Version 3.0

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker

OIPE

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/954,456

DATE: 11/07/2001

TIME: 15:21:39

Input Set : N:\EBONY'S\ES.txt

Output Set: N:\CRF3\11072001\I954456.raw

3 <110> APPLICANT: Young, Paul 5 <120> TITLE OF INVENTION: Process for Identifying Anti-Cancer Therapeutic Agents Using Cancer Gene Sets 6 8 <130> FILE REFERENCE: 689290-76 C--> 10 <140> CURRENT APPLICATION NUMBER: US/09/954,456 N C--> 10 <141> CURRENT FILING DATE: 2001-09-18 10 <150> PRIOR APPLICATION NUMBER: US/60/233,617 11 <151> PRIOR FILING DATE: 2000-09-18 Does Not Comply 13 <150> PRIOR APPLICATION NUMBER: US/60/234,052 Corrected Diskette Needed 14 <151> PRIOR FILING DATE: 2000-09-20 16 <150> PRIOR APPLICATION NUMBER: US/60/234,923 17 <151> PRIOR FILING DATE: 2000-09-25 19 <150> PRIOR APPLICATION NUMBER: US/60/235,134 20 <151> PRIOR FILING DATE: 2000-09-25 22 <150> PRIOR APPLICATION NUMBER: US/60/235,637

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37 <150> PRIOR APPLICATION NUMBER: US/60/235,863

38 <151> PRIOR FILING DATE: 2000-09-27

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47 <213> ORGANISM: Homo sapiens

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62 <223> OTHER INFORMATION: n=a,t,g or c

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70 acatttgtaa aagcttgtac tggatgtggt tgcccccatt tgtgtgtgtg gttgtgtgtg 72 tgtggttgtg tgttggtggc cacagetgag cetetgtcae cagagaagge tgaggeccaa

240

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/954,456

DATE: 11/07/2001 TIME: 15:21:39

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Output Set: N:\CRF3\11072001\I954456.raw

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76 ccctctcgca ccctttgcca gacagtttgt ctccaatttc aaactgacct aaggetctta	420
78 ctcctggatt ttttgttttt aaaccttctc ccagccagtc ttcgggaggg catgattaga	
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00 (ZIS) OKOMIEM. Nome Tali Island	
88 <220> FEATURE:	
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90 <223> OTHER INFORMATION: (n)→a,t,g or c	
93 <400> SEQUENCE: 3	60
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98 cttgccataa aaatattcta ctataataat gaaaaaatat atcattacat catcagtgac	240
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W> 124 cgcangangn gggagttccc ctttaggagt ctcactttcg gctgggcatt tctgggcttc	180
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128 tecaacteed tgeetteetg gteactgetg tteectgagt ceteageagt ageetgadeg	300
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147 ctgctgcgtg gctgctgtga ggctccccat gaatccacgc agtcttcttc ctcactggtg	240
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156 <212> TYPE: DNA	
157 <213> ORGANISM: Homo sapiens	
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The type of errors shown exist throughout the Sequence Listing. Please check subsequent sequences for similar errors.



PATENT APPLICATION: US/09/954,456

DATE: 11/07/2001 TIME: 15:21:39

Input Set : N:\EBONY'S\ES.txt

Output Set: N:\CRF3\11072001\I954456.raw

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		ggatgaacat gtccagtgac tcctgggcat			
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		gtcgatcccc tgccagatct tcaggaagtc			
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	222	ttcagtgaca tttctagaga aactttttct	actcccatag	gctcttcaaa gcatggaact 120	
		tttatacaac agaaatgttg acagaaattg			
	226	gggcagcaat catgtattaa cttacgaagg	ggaaattgaa		
	228	tttatcagtt tccagagtac tgctgccaac	ctagacactg	atttttcaga gtttgaaatg 300	
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RAW SEQUENCE LISTING

DATE: 11/07/2001 TIME: 15:21:39 PATENT APPLICATION: US/09/954,456

Input Set : N:\EBONY'S\ES.txt

Output Set: N:\CRF3\11072001\1954456.raw

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		acqtttcctc atgtgtagtt					240
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		tgctatgcac acacagtaca					480
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M>	286	taccggcnca gatgaagaag	acaaaggcct	tcagcgggtt	cacaaaccag	tagaaggaag	<b>300</b> 360
P.V &		ttttggggcg gctgggtttc ccgtttctcg ggcttccttc					420
W>	290	cgtgaagaat gtacacattg	gaanggatgt	ctatasacta	ccanatattt	ttaaccaacc	480
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		gtccctcttg aatcccatgg					240
		tggggtgcag tctgctcact					300
	330	tygygtydag totydtoadt	gacacccccc	cyaayaycac	gcaygyaac	ceyyecteyy	300

RAW SEQUENCE LISTING

DATE: 11/07/2001 TIME: 15:21:40

PATENT APPLICATION: US/09/954,456

Input Set : N:\EBONY'S\ES.txt

Output Set: N:\CRF3\11072001\I954456.raw

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	aaccagattt tgacctgccg ctcggtgaga				420
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	geageegeae geetgeetet geeaggaggg	_			360
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391	gttttgccat tctctacagc agaccaaaga	gtgcatcaaa	tgtacattat	ttcagcatag	240
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					180
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## **VERIFICATION SUMMARY**

DATE: 11/07/2001 JS/09/954,456 TIME: 15:21:41

PATENT APPLICATION: US/09/954,456

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Output Set: N:\CRF3\11072001\I954456.raw

L:10 M:270 C: Current Application Number differs, Replaced Current Application No L:10 M:271 C: Current Filing Date differs, Replaced Current Filing Date L:74 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:2 L:74 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 L:80 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:2 L:80 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 L:94 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:3 L:94 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3  $L:96\ M:258\ W:$  Mandatory Feature missing, <222> not found for SEQ ID#:3 L:96 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 L:102~M:258~W: Mandatory Feature missing, <222> not found for SEQ ID#:3 L:102 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 L:104 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:3 L:104 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 L:106 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:3 L:106 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 L:122 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4  $\rm L\!:\!122~M\!:\!341~W\!:$  (46) "n" or "Xaa" used, for SEQ ID#:4 L:124~M:258~W: Mandatory Feature missing, <222> not found for SEQ ID#:4 L:124 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 L:126 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4  $\rm L\!:\!126~M\!:\!341~W\!:$  (46) "n" or "Xaa" used, for SEQ ID#:4 L:132 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:4 L:132 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 L:167 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:6  $L:167 \ M:341 \ W: \ (46) \ "n" \ or "Xaa" \ used, for SEQ ID#:6$ L:169 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:6 L:169 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 L:181 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:6 L:181 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 L:220 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:8  $\text{L}\!:\!220~\text{M}\!:\!341~\text{W}\!:$  (46) "n" or "Xaa" used, for SEQ ID#:8 L:262 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:9 L:262 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:9 L:264 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:9 L:264 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:9 L:278~M:258~W: Mandatory Feature missing, <222> not found for SEQ ID#:10 L:278 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10L:284~M:258~W: Mandatory Feature missing, <222> not found for SEQ ID#:10 L:284 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10 L:286 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:10 L:286 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10 L:290~M:258~W: Mandatory Feature missing, <222> not found for SEQ ID#:10 L:290 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10 L:292 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:10 L:292 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10 L:294 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:10 L:294 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10

VERIFICATION SUMMARY

DATE: 11/07/2001 TIME: 15:21:41 PATENT APPLICATION: US/09/954,456

Input Set : N:\EBONY'S\ES.txt

Output Set: N:\CRF3\11072001\I954456.raw

 $L:296\ M:258\ W:$  Mandatory Feature missing, <222> not found for SEQ ID#:10 L:296 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10 L:298 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:10 L:298 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10 L:867 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:38  $L:867 \ M:341 \ W: (46)$  "n" or "Xaa" used, for SEQ ID#:38 L:955 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:41 L:955 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:41 L:2619 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:46 L:2619 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:46 L:2621 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:46 L:2621 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:46  $L:2637\ M:258\ W:$  Mandatory Feature missing, <222> not found for SEQ ID#:47 L:2637 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:47 L:2643 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:47 L:2643 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:47 L:2645 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:47 L:2645 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:47L:2663 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:48 L:2663 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:48 L:2667 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:48 L:2667 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:48 L:2669 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:48 L:2669 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:48 L:2671 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:48 L:2671 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:48 L:2673 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:48 L:2673 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:48 L:2689 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:49 L:2689 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:49 L:2697 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:49  $L:2697 \ M:341 \ W: \ (46) \ "n" \ or "Xaa" \ used, for SEQ ID#:49$ L:2699 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:49  $L\!:\!2699$  M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:49 L:2701~M:258~W: Mandatory Feature missing, <222> not found for SEQ ID#:49 L:2701 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:49 L:2719 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:50 L:2719 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:50 L:2721 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:50 L:2721 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:50 L:3163 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:55 L:3163 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:55 L:3717 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:60 L:3717 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:60 L:3768 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:62 L:3768 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:62 L:3842 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:65 L:3842 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:65 L:3860 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:66 VERIFICATION SUMMARY

DATE: 11/07/2001

PATENT APPLICATION: US/09/954,456

TIME: 15:21:41

Input Set : N:\EBONY'S\ES.txt

Output Set: N:\CRF3\11072001\I954456.raw

L:3860 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:66

L:3862 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:66

L:3862 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:66

L:3864 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:66

L:3864 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:66

## Raw Sequence Listing Error Summary

ERROR DETECTED	SUCCESTED CORRECTION . SERIAL NUMBER: 09/954456:
ATTN: NEW RULES CAS	ES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY P
1Wrapped Nucleies Wrapped Aminos	The numberhest at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to 3; this will prevent "wrapping."
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as received by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length.	Sequence(s)contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa ean only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6Patentin 2.0 "bug"	A "bug" in Patentin version 2.0 has caused the <220> <223> section to be missing from amino acid sequences(s) Normally, Patentin would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220> <223> section to the subsequent amino acid sequence. This applies to the mandatory <220> <223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to Include the skipped sequences.
Skipped Sequences (NEW RULES)	Sequence(s) missing. If Intentional, please insert the following lines for each skipped sequence 210> sequence id number
A. A. A. C.	<400> sequence id number 000
9Use of n's or Xas's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valld <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown is Artificial Sequence
1Uk of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses.  Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.82) of Sequence Rules)
Patentin 2.0 "bug"	Please do not use "Copy to Disk" function of Patentln version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
3Misuse of n	in can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.

AMC/MH - Biotechnology Systems Branch - 08/21/2001